

# Computational investigation on alcohol nanosensors in combination with carbon nanotube: a Monte Carlo and ab initio simulation

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**Abstract** Single-walled nanocarbons (SWNT) are common nanovehicles of interest for making biosensors more sensitive. Carbon nanotubes (CNTs) have many distinct properties, causing them to be exploited in the development of the next generation of such nanosensors. The keto–enol tautomerization is one of the most common investigated subjects of isomerism in this regard; sensors are devices that are able to detect and change the physical properties of such reactions. Some chemicals with the properties to do keto–enol tautomerization are substituted to CNTs, and the physico-chemical properties are simulated. HyperChem is used as the main software to design the CNT sensor, and the main physical properties are calculated after Monte Carlo simulation. In all situations, the energy minimization has been done by MM<sup>+</sup>, and the fully optimized systems have transferred to Guassian98. After final optimization with 3–21 G, Hartree–Fock (HF) method, SWNT have been linked up to caffeic acid and chlorogenic acid. Then, frequency and intensity were investigated using AM1 and PM3 codes. Also, we determined some nuclear magnetic resonance parameters in the HF method and several basis sets.

**Keywords** Caffeic acid · Chlorogenic acid · Single-walled carbon nanotube

## Introduction

The carbon nanotube (carbon nanotubes, CNT) is a representative nanomaterial. CNT is a cylindrically shaped carbon material with a nanometer-level diameter. Its structure, which is in the form of a hexagonal mesh, resembles a graphite sheet, and it carries a carbon atom located on the vertex of each mesh. The sheet is rolled, and its two edges are connected continuously. Although it is a common place material that is used in pencil leads, its unique structure causes it to present characteristics that are not found in any other materials. CNT can be classified into single-walled CNT, double-walled CNT, and multi-walled CNT on the basis of the number of layers of the rolled graphite. The type attracting the most attention is the single-walled CNT, which has a diameter deserving the name of “nanotube” of 0.4–2 nm. The length is usually in the order of microns, but the single-walled CNT with a length in the order of centimeters has recently been released. Since the discovery of single-walled nanotubes (SWCNTs) by Iijima and Bethune in 1993 [1], many applications as molecular components for nanotechnology including conductivity and high-strength composites; energy storage and energy conversion devices; sensors; field emission displays and radiation sources; hydrogen storage media; and nanometer-sized semiconductor devices, probes, and interconnects are known [2]. The best-known example for a sudden change in the nanotube properties with their particular structure is their electronic dispersion. Depending on the direction, the confinement direction with respect to graphite nanotubes is metallic or semiconducting. The band structure can even be further

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manipulated, by introducing defects into a tube. So, the discovery of CNTs which are nanosized materials with excellent mechanical and electrical properties has been proposed to be used in a variety of application fields [3]. CNTs are a new allotrope of carbon originated from the fullerene family, which will revolutionize the future nanotechnological devices [4]. There are two types of CNTs: single-walled nanotubes (SWCNTs) and multi-walled nanotubes (MWCNTs) [5]; they have three conformations: armchair, zigzag, and chiral, and these conformations have individual properties. In this paper, we look at single-walled nanotubes since their properties are more reliable for nanoelectronic device purposes. Also, depending upon their chirality, diameter, and electronic structure, carbon nanotubes can be metallic or semiconducting. Multi-walled carbon nanotubes have a layer of carbon shells with differing physics that can all potentially interact. It is shown that only the outer shell of MWCNTs contributes to electrical transport, and so, only small-diameter MWCNTs could be used to make transistor devices. SWCNTs can be chiral or nonchiral, again depending on the way of the rolling up vector (Scheme 1).

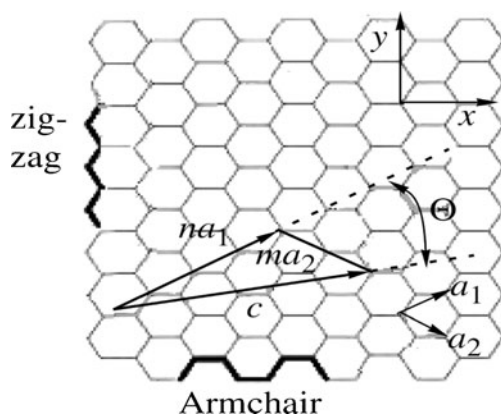
As described earlier, the properties of nanotubes are determined by their diameter and chiral angle, both of which depend on  $n$  and  $m$ . Typically, SWCNT is presented by a pair of integers  $(n, m)$ . Its geometrical structure as shown in Fig. 1 can be represented in terms of a chiral vector  $\mathbf{C}$  on a two-dimensional  $sp^2$ -carbon sheet where  $\mathbf{C} = n\mathbf{a}_1 + m\mathbf{a}_2 = (n, m)$  with integer  $n$  and  $m$ . Here,  $\mathbf{a}_1$  and  $\mathbf{a}_2$  represent the unit vectors of the hexagonal graphene lattice. This sheet is then rolled up to a cylinder so that  $\mathbf{C}$  becomes the circumference of the tube. The direction of the nanotube axis is naturally perpendicular to  $\mathbf{C}$ . The diameter,  $d$ , is simply the length of the chiral vector divided by  $1/4$ , and  $d = (\frac{2}{\pi})^{\frac{1}{2}} a_{C-C} (n^2 + m^2 + nm)^{\frac{1}{2}}$ , where  $a_{C-C}$  is the distance between neighboring carbon atoms in the flat sheet. In turn, the chiral angle ( $\theta$ ) is given by  $\tan^{-1}(3n/(2m+n))^{\frac{1}{2}}$ . The translational period,  $a$ , is the

shortest possible lattice vector along the  $z$  direction. SWCNTs are classified into three types, namely armchair  $(n, n)$  nanotubes, zigzag  $(n, 0)$  nanotubes, and chiral  $(n, m)$  nanotubes with  $n \neq m$  [6].

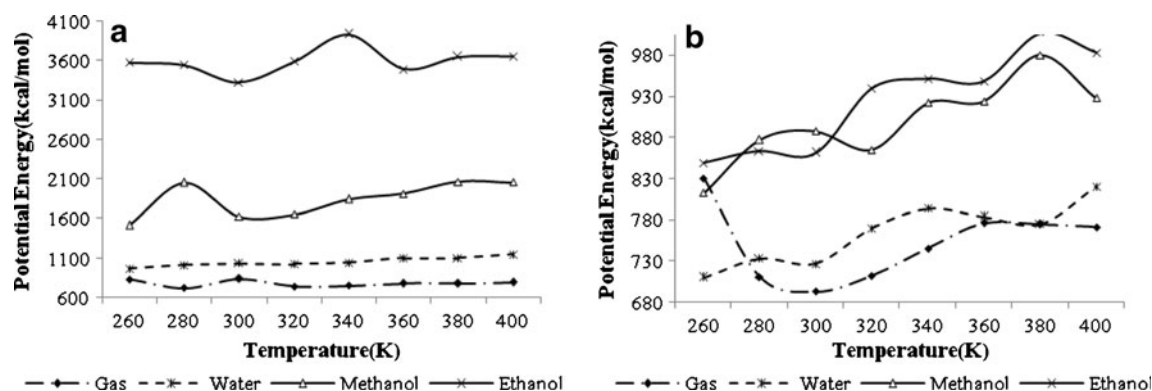
Sensors continue to make an important impact on everyday life. They have been in strong demand for producing highly selective, sensitive, responsive, and cost-effective sensors [7]. CNTs have many distinct properties that may be exploited to develop the next generation of sensors. The nanotube sensors show a fast response and a substantially higher sensitivity than that of existing solid-state sensors at room temperature. Sensor reversibility is succeeded by slow recovery under ambient conditions or by heating to high temperatures [8]. They can be used to encourage electron transfer reactions when applied as electrode materials in electrochemical devices. The keto $\leftrightarrow$ enol tautomerism is one of the most common investigated subjects of isomerism that occurs for carbonyl compounds [9]. Sensors are devices that detect or measure physical and chemical quantities such as temperature, pressure, sound, and concentration. The main requirements of a good sensor are high sensitivity, fast response, low cost, high-volume production, and high reliability. In this work, catechol compound sensors based on SWNT have been considered. Generally, the enol form is more stable than the keto form for neutral systems.

Catechol compounds including quinizarine, caffeic acid, chlorogenic acid, catechine hydrate, pyrocatechol, hematoxylin, rutin, coumestan, 3,4-dihydroxybenzaldehyde, and other derivatives are used as electron transfer mediators in electrochemical processes due to their high electron transfer efficiency, excellent redox reversibility, and low cost [10].

The catechol derivatives which we chose as shown in Scheme 2 are caffeic acid and chlorogenic acid. Then, they have bonded to SWNT (7,4) separately. In this paper, at first, these two compounds (SWNT–caffeic acid (CFA) and SWNT–chlorogenic acid (CGA)) were optimized by Gaussian 98 package in the HF method and 3–21 G basis set. The next step is the simulation of some periodic box such as  $\text{H}_2\text{O}$ ,  $\text{CH}_3\text{OH}$ , and  $\text{C}_2\text{H}_5\text{OH}$  performed by HyperChem 8.0 and Chemoffice 2004 packages on SWNT–CFA and SWNT–CGA for investigating the dielectric effects. Finally, we used three ways to find the best situation, temperature, and solution for the mentioned compounds. In the first method, the interaction between these phenolic acids (CFA, CGA) and CNT (7,4) has been studied by quantum mechanics calculations, and the structural stability of the considered nanotube based on chemical sensors in different solvent media and temperatures have been compared and analyzed. So, we chose the Monte Carlo simulation in MM+, AMBER, and OPLS force field to calculate potential energy. Then, we investigated vibrational analysis in the semi-empirical method. These two mentioned



**Scheme 1** Generating the chirality vector for the nanotube from the graphene sheet. **a** 3,4-Dihydroxy cinamic acid. **b** [1,3,4,5-Tetrahydroxycyclohexane carboxylic acid 3- (3,4-dihydroxycinamate)]



**Fig. 1** Potential energy of **a** SWNT-CFA, **b** SWNT-CGA vs. temperature by the molecular mechanic method (MM+)

methods have been done by HyperChem 8.0 software. The last one is to determine the NMR parameters.

### Computational method and background

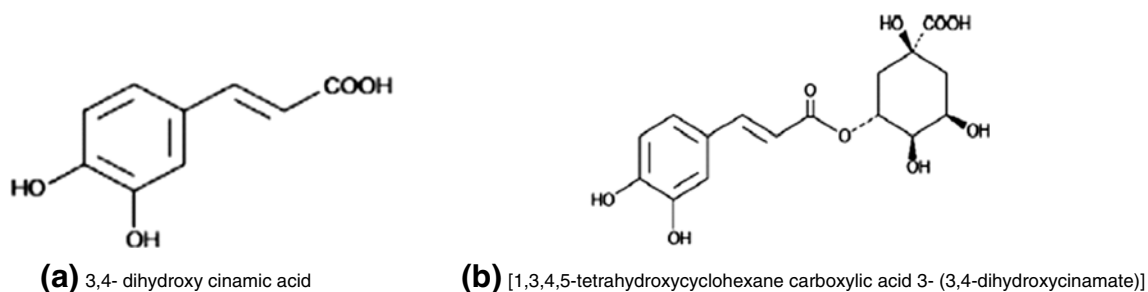
#### Monte Carlo simulation

The term “ab initio” is given to computations which are derived directly from theoretical principles, with no inclusion of experimental information. The most common type of ab initio calculation is called a Hartree–Fock calculation (abbreviated HF), in which the primary approximation is called the central field approximation. A method, which avoids making the HF mistakes in the first place, is known as Quantum Monte Carlo (QMC). Also, in contrast to the MD method which is entirely deterministic, the MC simulation method is based on the use of probabilistic concepts. In this method, a system composed of  $N$  interacting atoms is given a group of initial coordinates. The evolution of this initial configuration is then generated by these successive random displacements of the atoms. There are some flavors of QMC, namely variational, diffusion, and Green's functions. These methods work with a clearly correlated wave function and evaluate integrals numerically using a Monte Carlo integration. These calculations can be very time consuming, but they are apparently the most accurate methods known today. Ab initio calculations give very good qualitative

results and can give increasingly accurate quantitative results as the molecules in question become smaller, generally [11]. There are three steps in carrying out any quantum mechanical calculation in the HyperChem 8.0 program package. First, set up a molecule with an appropriate starting geometry. Second, choose a calculation method and its associated choices. Third, choose the type of calculation with the relevant options. The Monte Carlo simulations always detect the so-called “important phase space” regions which are of low energy [12]. Because of defects of the force field, this lowest energy basin usually does not correspond to the native state in most cases, so the rank of the native structure in those decoys produced by the force field itself is poor. In this study, the difference in force field is illustrated by comparing the energy calculated by using force fields, MM+, Amber, and Opls. Also, we investigated polar solvent and the temperature effects (between 260 and 400 K) on the stability of SWCNT bonded to CFA (or CGA) in various solvents. The quantum mechanics (QM) calculations were carried out with the HyperChem 8.0 program.

#### Vibration analysis

Two major classes of electronic structure methods have been devised and are frequently used in the literature: semi-empirical and ab initio methods. In this study, the ab initio method has been used for optimizing molecules, illustrating NMR parameters, and the semi-empirical method is used for



**Scheme 2** The structure and IUPAC name of **a** caffeic acid (CFA) and **b** chlorogenic acid (CGA)

**Table 1** Potential energy of CFA and CGA bonding to SWNT (7,4) in some solvent vs. temperature by the molecular mechanic method (MM+)

| Comp.    | Medium   | Dielectric constant<br><br><i>E</i> (kcal/mol) | Temperature (K) |          |          |          |          |          |          |          |
|----------|----------|------------------------------------------------|-----------------|----------|----------|----------|----------|----------|----------|----------|
|          |          |                                                | 260             | 280      | 300      | 320      | 340      | 360      | 380      | 400      |
| CFA–SWNT | Gas      | 1                                              | 833.84          | 725.73   | 839.952  | 743.305  | 753.157  | 781.872  | 782.11   | 794.75   |
|          | Water    | 78.39                                          | 972.285         | 1,016.41 | 1,039.18 | 1,026.74 | 1,041.94 | 1,103.95 | 1,106.65 | 1,149.83 |
|          | Methanol | 32.63                                          | 1,515.04        | 2,057.12 | 1,624.87 | 1,655.12 | 1,847.56 | 1,918.75 | 2,067.8  | 2,060.79 |
|          | Ethanol  | 24.55                                          | 3,576.61        | 3,544.84 | 3,323.58 | 3,589.99 | 3,929.88 | 3,492.58 | 3,644.08 | 3,654.08 |
| CGA–SWNT | Gas      | 1                                              | 830.651         | 711.383  | 693.412  | 712.862  | 745.714  | 776.318  | 775.096  | 771.555  |
|          | Water    | 78.39                                          | 710.495         | 733.531  | 727.157  | 770.008  | 794.07   | 783.744  | 775.271  | 820.384  |
|          | Methanol | 32.63                                          | 812.704         | 877.307  | 887.733  | 865.396  | 921.972  | 923.768  | 980.351  | 927.741  |
|          | Ethanol  | 24.55                                          | 849.184         | 863.483  | 861.479  | 939.981  | 951.521  | 948.491  | 1,008.41 | 982.868  |

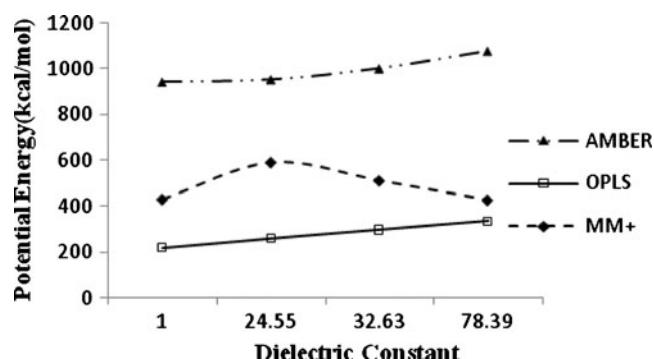
vibrational analysis. The development of semi-empirical methods gained popularity in the 1970s–1980s. These methods use derived parameters from experimental data to fit into the computations. Many studies have shown that carbon nanotubes possess remarkable mechanical and physical properties leading to many potential applications such as fluid transport, fluid storage at nanoscale, and nanodevices for drug delivery. Since controlled experiments at the nanometer scale are difficult, the simulation techniques have been widely and successfully used to investigate the mechanical property, wave propagation, and resonant frequency [13]. The vibration of molecules is best described using a quantum mechanical approach. A harmonic oscillator does not exactly describe molecular vibrations. Bond stretching is better described by a Morse potential, and conformational changes have sine-wave-type behavior. However, the harmonic oscillator description is useful as an approximate treatment for low vibrational quantum numbers [14]. A harmonic oscillator approximation is most widely used for computing molecular vibrational frequencies because more accurate methods require very large amounts of CPU time. In this paper, we used the semi-empirical method, Austin Model-1 (Am1) and Parameterized Model number 3 (PM3) codes, to investigate the resonant frequency.

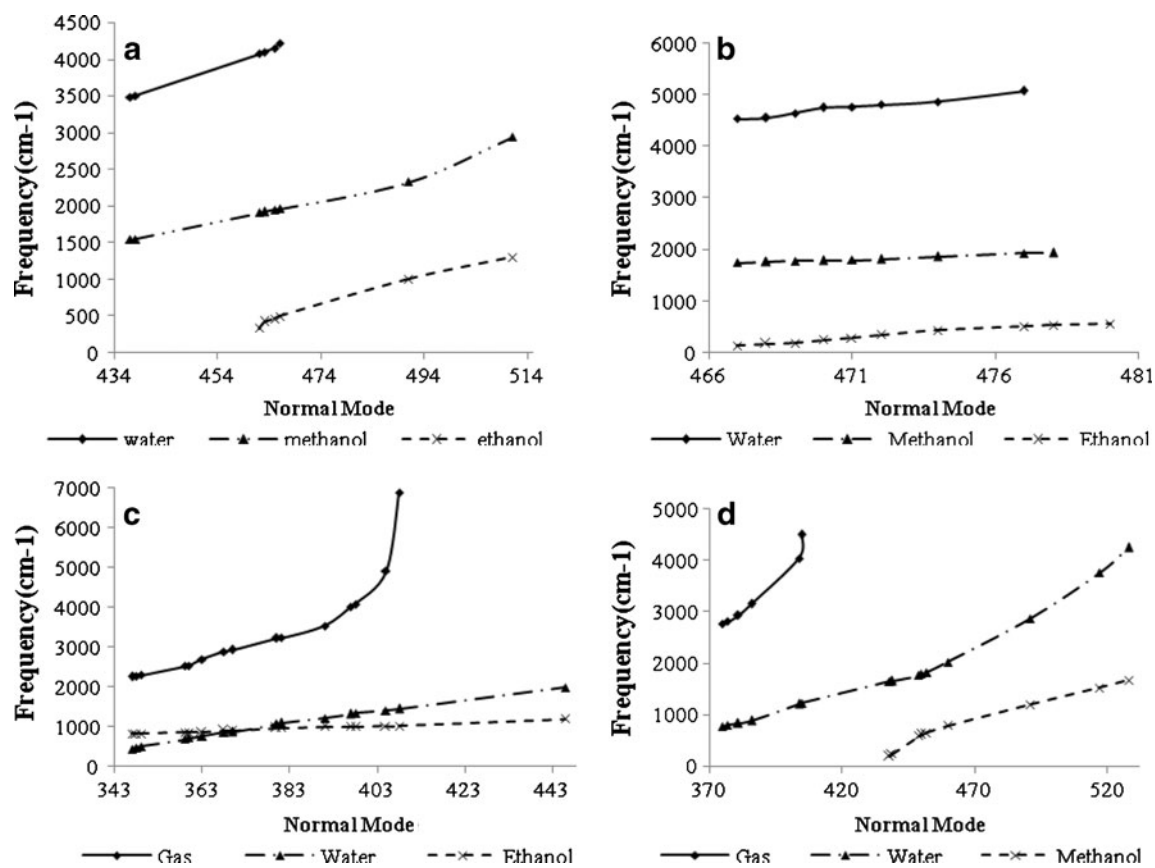
## NMR studies

In the Gaussian program, a simple approximation is used in which the volume of the solute is used to compute the radius of a cavity which forms the hypothetical surface of the molecule [15, 16]. Then, we have compared the gas phase and different solvent media such as water, methanol, and ethanol. So, we investigated polar solvent effects on SWCNT–CFA (and SWCNT–CGA) within the Onsager self-consistent reaction field (SCRf) model using a Hartree–Fock method in various solvents. It is now possible to describe the molecular properties of relative small molecules with nearly chemical accuracy using theoretical methods due to recent advances in computational methods and common access to large-scale powerful computers and software. The fundamental assumption of the Hartree–Fock theory that each electron sees all of the others as an average field allows for tremendous progress to be made in carrying out practical molecular orbital calculations. In this paper, calculating NMR data using quantum mechanical computational methods relies directly on the accurate calculation of the energy. This is because the computed NMR shift is a mathematical second derivative of the energy function. To

**Table 2** Potential energy values using MM+, AMBER, and OPLS force fields in different media

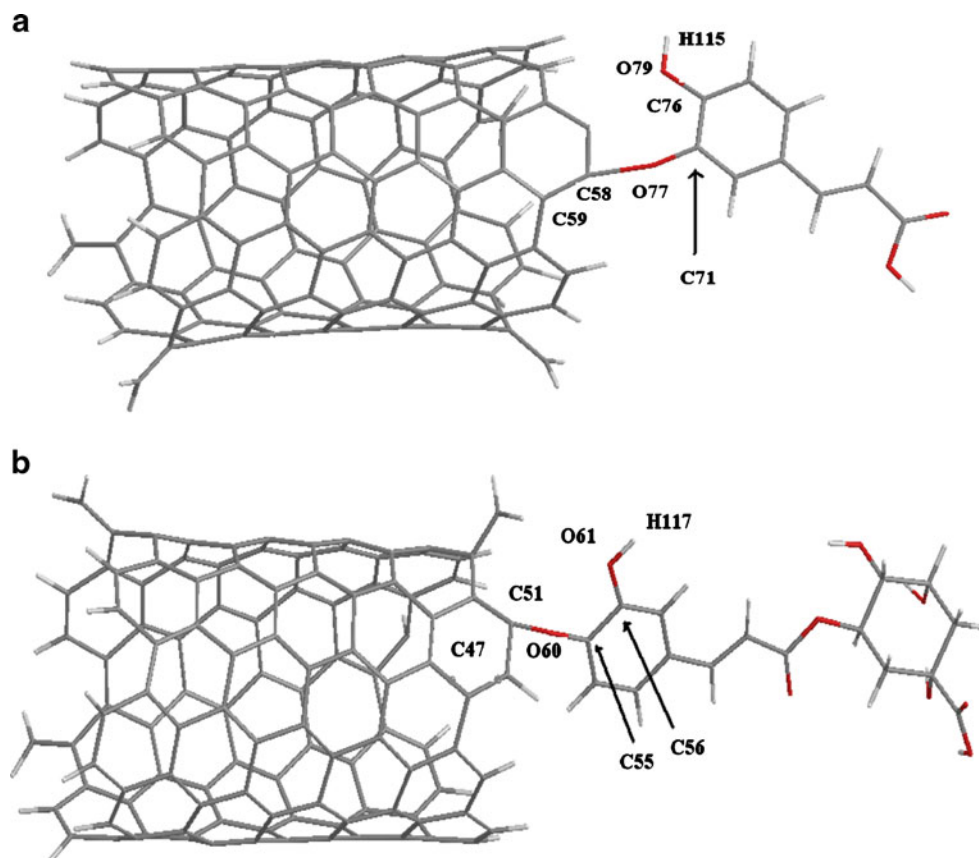
|          | Medium    | Dielectric constant | MM+ <i>E</i> (kcal/mol) | AMBER    | OPLS    |
|----------|-----------|---------------------|-------------------------|----------|---------|
| SWNT–CFA | Gas phase | 1                   | 426.691                 | 980.695  | 241.645 |
|          | Water     | 78.39               | 442.324                 | 1,075.01 | 366.526 |
|          | Methanol  | 32.63               | 530.367                 | 1,036.76 | 323.833 |
|          | Ethanol   | 24.55               | 585.972                 | 978.125  | 280.096 |
| SWNT–CGA | Gas phase | 1                   | 429.633                 | 943.563  | 221.198 |
|          | Water     | 78.39               | 426.44                  | 1,078.72 | 335.714 |
|          | Methanol  | 32.63               | 509.795                 | 1,002.7  | 298.957 |
|          | Ethanol   | 24.55               | 589.664                 | 954.047  | 261.648 |

**Fig. 2** Potential energy values using different force fields for SWNT–CFA and SWNT–CGA



**Fig. 3** Changes of frequency vs. normal mode on **a** caffeic acid in AM1, **b** caffeic acid in PM3, **c** CGA in AM1, and **d** CGA in PM3

**Scheme 3** The structure of **a** SWNT-CFA and **b** SWNT-CGA



**Table 3** NMR properties of caffeic acid bonding to SWNT (7,4) in the HF method

| Basis set | Atomic no. | Water    | Methanol              |                         |          |                |          |                       | Ethanol                 |          |                |          |                       |                         |
|-----------|------------|----------|-----------------------|-------------------------|----------|----------------|----------|-----------------------|-------------------------|----------|----------------|----------|-----------------------|-------------------------|
|           |            |          | $\sigma_{\text{iso}}$ | $\sigma_{\text{aniso}}$ | $\delta$ | $\Delta\sigma$ | $\eta$   | $\sigma_{\text{iso}}$ | $\sigma_{\text{aniso}}$ | $\delta$ | $\Delta\sigma$ | $\eta$   | $\sigma_{\text{iso}}$ | $\sigma_{\text{aniso}}$ |
| STO-3 G   | 58         | 102.1558 | 86.3006               | 57.5338                 | 86.30065 | 86.30065       | 0.721758 | 102.1563              | 86.3001                 | 57.5334  | 86.3001        | 0.721824 | 102.1578              | 86.299                  |
|           | 59         | 122.5186 | 137.8027              | 91.8685                 | 137.8028 | 137.8028       | 0.157848 | 122.5183              | 137.8029                | 91.8687  | 137.803        | 0.157861 | 122.5178              | 137.8043                |
|           | 71         | 109.9947 | 90.072                | 60.048                  | 90.07205 | 90.07205       | 0.19363  | 109.995               | 90.072                  | 60.048   | 90.072         | 0.193605 | 109.9949              | 90.0677                 |
|           | 76         | 107.9494 | 105.497               | 70.3313                 | 105.497  | 105.497        | 0.139486 | 107.9496              | 105.497                 | 70.3313  | 105.497        | 0.139478 | 107.9511              | 105.4913                |
|           | 77         | 237.9098 | 99.068                | -99.3499                | -149.025 | -149.025       | 0.32955  | 237.9147              | 99.0599                 | -99.3483 | -149.022       | 0.329462 | 237.9213              | 99.0381                 |
|           | 79         | 249.7329 | 74.1995               | -59.414                 | -89.121  | -89.121        | 0.66514  | 249.7292              | 74.1909                 | -59.4128 | -89.1192       | 0.664983 | 249.7268              | 74.1736                 |
|           | 115        | 29.3798  | 10.8693               | -7.2716                 | -10.9074 | -10.9074       | 0.993014 | 29.3799               | 10.8692                 | -7.2718  | -10.9077       | 0.992945 | 29.38                 | 10.8686                 |
|           | 58         | 59.247   | 90.1615               | -66.4697                | -99.7046 | -99.7046       | 0.808573 | 59.248                | 90.1602                 | -66.4708 | -99.7063       | 0.808516 | 59.2499               | 90.1578                 |
|           | 59         | 89.2611  | 142.7447              | 95.1632                 | 142.7447 | 142.7447       | 0.227109 | 89.261                | 142.7442                | 95.1628  | 142.7442       | 0.227133 | 89.2591               | 142.7446                |
|           | 71         | 69.1988  | 89.8889               | 59.926                  | 89.88895 | 89.88895       | 0.634354 | 69.1992               | 89.889                  | 59.926   | 89.88895       | 0.634284 | 69.1995               | 89.8853                 |
|           | 76         | 67.0575  | 110.1217              | 72.9997                 | 109.707  | 109.707        | 0.402932 | 67.0581               | 110.1203                | 73.4136  | 110.1203       | 0.400615 | 67.06                 | 110.1137                |
| 3-21 G    | 77         | 192.5692 | 66.3097               | -58.8297                | -88.2446 | -88.2446       | 0.502862 | 192.5732              | 66.3048                 | -58.8299 | -88.2449       | 0.502746 | 192.5781              | 66.2918                 |
|           | 79         | 210.5883 | 51.5698               | -46.2555                | -69.3833 | -69.3833       | 0.486519 | 210.5867              | 51.5584                 | -46.2549 | -69.3823       | 0.486214 | 210.5855              | 51.541                  |
|           | 115        | 29.4098  | 13.2314               | 8.821                   | 13.23145 | 13.23145       | 0.673246 | 29.4099               | 13.2313                 | 8.8209   | 13.2313        | 0.673265 | 29.4101               | 13.231                  |
|           | 58         | 60.668   | 89.8587               | -65.8716                | -98.8074 | -98.8074       | 0.818866 | 60.669                | 89.8574                 | -65.8728 | -98.8092       | 0.818807 | 60.6709               | 89.855                  |
|           | 59         | 90.7234  | 141.6062              | 94.4041                 | 141.6062 | 141.6062       | 0.232128 | 90.7232               | 141.6058                | 94.4039  | 141.6059       | 0.23215  | 90.7213               | 141.6062                |
|           | 71         | 70.59    | 89.2308               | 59.4872                 | 89.2308  | 89.2308        | 0.627476 | 70.5904               | 89.2308                 | 59.4873  | 89.23085       | 0.627406 | 70.5906               | 89.227                  |
|           | 76         | 68.3653  | 109.6256              | 73.0837                 | 109.6255 | 109.6255       | 0.393573 | 68.366                | 109.6241                | 73.0827  | 109.6241       | 0.393535 | 68.3677               | 109.6175                |
|           | 77         | 191.1258 | 65.0886               | -58.2361                | -87.3542 | -87.3542       | 0.490223 | 191.1298              | 65.0838                 | -58.2362 | -87.3543       | 0.490111 | 191.1347              | 65.0708                 |
|           | 79         | 210.1597 | 49.7901               | -46.8803                | -70.3205 | -70.3205       | 0.416089 | 210.158               | 49.7787                 | -46.8796 | -70.3194       | 0.415789 | 210.1567              | 49.761                  |
|           | 115        | 29.4641  | 12.9262               | 8.6175                  | 12.92625 | 12.92625       | 0.6881   | 29.4643               | 12.9261                 | 8.6174   | 12.9261        | 0.688119 | 29.4644               | 12.9258                 |
|           | 58         | 36.6488  | 102.7654              | -75.9642                | -113.946 | -113.946       | 0.803751 | 36.65                 | 102.7639                | -75.9653 | -113.948       | 0.803699 | 36.6526               | 102.7611                |
| 4-31 G    | 59         | 69.1526  | 160.4804              | 106.9869                | 160.4804 | 160.4804       | 0.221522 | 69.1524               | 160.4798                | 106.9866 | 160.4799       | 0.221546 | 69.1505               | 160.4808                |
|           | 71         | 47.0437  | 104.005               | 69.3366                 | 104.005  | 104.005        | 0.625146 | 47.0442               | 104.005                 | 69.3367  | 104.0051       | 0.625079 | 47.0448               | 104.0012                |
|           | 76         | 46.2364  | 120.9843              | 80.6562                 | 120.9843 | 120.9843       | 0.469504 | 46.2371               | 120.9824                | 80.6549  | 120.9823       | 0.469472 | 46.2394               | 120.9752                |
|           | 77         | 161.492  | 66.158                | -56.2357                | -84.3537 | -84.3537       | 0.568587 | 161.4961              | 66.1538                 | -56.2374 | -84.3561       | 0.56844  | 161.4997              | 66.1397                 |
|           | 79         | 192.2504 | 40.2592               | -44.9276                | -67.3915 | -67.3915       | 0.194787 | 192.2489              | 40.2467                 | -44.9272 | -67.3908       | 0.194426 | 192.2485              | 40.2278                 |
|           | 115        | 29.3097  | 12.9535               | 8.6356                  | 12.9535  | 12.9535        | 0.726967 | 29.3098               | 12.9534                 | 8.6356   | 12.9534        | 0.726991 | 29.31                 | 12.9531                 |
|           | 58         | 39.1311  | 101.9739              | -74.8932                | -112.34  | -112.34        | 0.815455 | 39.1323               | 101.9725                | -74.8944 | -112.342       | 0.815401 | 39.1348               | 101.9695                |
|           | 59         | 71.6841  | 158.9868              | 105.9911                | 158.9867 | 158.9867       | 0.211108 | 71.6839               | 158.9861                | 105.9907 | 158.9861       | 0.211134 | 71.6822               | 158.9875                |
|           | 71         | 49.9862  | 103.0983              | 68.7322                 | 103.0983 | 103.0983       | 0.606723 | 49.9868               | 103.0983                | 68.7322  | 103.0983       | 0.606656 | 49.9876               | 103.0948                |
|           | 76         | 49.4901  | 119.351               | 79.5673                 | 119.351  | 119.351        | 0.466942 | 49.4908               | 119.3491                | 79.5661  | 119.3491       | 0.466909 | 49.4931               | 119.342                 |
|           | 77         | 162.0502 | 65.9492               | -96.101                 | -124.093 | -124.093       | 0.332455 | 162.0542              | 65.9452                 | -95.9846 | -83.977        | 0.570555 | 162.0577              | 65.9299                 |
| 6-31 G    | 79         | 193.8344 | 38.1393               | -42.9547                | -64.4321 | -64.4321       | 0.183861 | 193.833               | 38.1265                 | 25.4176  | 38.12645       | 2.379867 | 193.8325              | 38.1067                 |
|           | 115        | 29.177   | 12.6985               | 8.4657                  | 12.69855 | 12.69855       | 0.773911 | 29.1772               | 12.6984                 | 8.4655   | 12.6983        | 0.773941 | 29.1773               | 12.6981                 |
|           | 58         | 86.299   | 57.5327               | 86.299                  | 57.5327  | 86.299         | 0.721836 | 86.299                | 57.5327                 | 86.299   | 57.5327        | 0.721836 | 86.299                | 57.5327                 |
|           | 59         | 137.8043 | 91.8695               | 137.8043                | 91.8695  | 137.8043       | 0.157878 | 137.8043              | 91.8695                 | 137.8043 | 91.8695        | 0.157878 | 137.8043              | 91.8695                 |
|           | 71         | 90.0677  | 60.0452               | 90.0677                 | 60.0452  | 90.0677        | 0.193592 | 90.0677               | 60.0452                 | 90.0677  | 60.0452        | 0.193592 | 90.0677               | 60.0452                 |
|           | 76         | 105.4913 | 70.3275               | 105.4913                | 70.3275  | 105.4913       | 0.139566 | 105.4913              | 70.3275                 | 105.4913 | 70.3275        | 0.139566 | 105.4913              | 70.3275                 |
|           | 77         | -149.025 | -89.1142              | -149.025                | -89.1142 | -149.025       | 0.32915  | -149.025              | -89.1142                | -149.025 | -89.1142       | 0.32915  | -149.025              | -89.1142                |
|           | 79         | -89.1142 | -10.9088              | -89.1142                | -10.9088 | -89.1142       | 0.664685 | -89.1142              | -10.9088                | -89.1142 | -10.9088       | 0.664685 | -89.1142              | -10.9088                |
|           | 115        | -7.2725  | -10.9088              | -7.2725                 | -10.9088 | -7.2725        | 0.99263  | -7.2725               | -10.9088                | -7.2725  | -10.9088       | 0.99263  | -7.2725               | -10.9088                |
|           | 58         | -66.4723 | -99.7085              | -66.4723                | -99.7085 | -66.4723       | 0.808427 | -66.4723              | -99.7085                | -66.4723 | -99.7085       | 0.808427 | -66.4723              | -99.7085                |
|           | 59         | 142.7447 | 95.1631               | 142.7447                | 95.1631  | 142.7447       | 0.227144 | 142.7447              | 95.1631                 | 142.7447 | 95.1631        | 0.227144 | 142.7447              | 95.1631                 |
|           | 71         | 89.8852  | 59.9235               | 89.8852                 | 59.9235  | 89.8852        | 0.634205 | 89.8852               | 59.9235                 | 89.8852  | 59.9235        | 0.634205 | 89.8852               | 59.9235                 |

**Table 4** NMR properties of CGA bonding to SWNT (7,4) in the HF method

| Basis set | Atomic no. | Water | Methanol              |                         |          |                |          |          | Ethanol               |                         |          |                |          |          |
|-----------|------------|-------|-----------------------|-------------------------|----------|----------------|----------|----------|-----------------------|-------------------------|----------|----------------|----------|----------|
|           |            |       | $\sigma_{\text{iso}}$ | $\sigma_{\text{aniso}}$ | $\delta$ | $\Delta\sigma$ | $\eta$   |          | $\sigma_{\text{iso}}$ | $\sigma_{\text{aniso}}$ | $\delta$ | $\Delta\sigma$ | $\eta$   |          |
| STO-3 G   | 47         |       | 124.3312              | 107.555                 | 71.7033  | 107.555        | 0.622868 | 124.3329 | 107.5542              | 71.7028                 | 107.5542 | 0.622847       | 124.3309 | 107.5492 |
|           | 51         |       | 103.0096              | 78.8967                 | 52.5978  | 78.8967        | 0.972044 | 103.0091 | 78.8961               | 52.5974                 | 78.8961  | 0.972018       | 103.0109 | 78.8942  |
|           | 55         |       | 111.3344              | 90.716                  | 60.4773  | 90.71605       | 0.178316 | 111.3354 | 90.7155               | 60.4771                 | 90.71555 | 0.178231       | 111.3378 | 90.7211  |
|           | 56         |       | 106.1422              | 108.147                 | 72.098   | 108.147        | 0.121363 | 106.1421 | 108.1488              | 72.0992                 | 108.1489 | 0.121268       | 106.1358 | 108.1584 |
|           | 60         |       | 248.8338              | 73.9394                 | -58.0072 | -87.0107       | 0.699548 | 248.8244 | 73.9256               | -58.0083                | -87.0124 | 0.699196       | 248.8142 | 73.9376  |
|           | 61         |       | 242.7558              | 118.8356                | -110.364 | -165.545       | 0.435687 | 242.7627 | 118.8337              | -110.367                | -165.551 | 0.435618       | 242.771  | 118.8464 |
| 3-21 G    | 117        |       | 28.9255               | 11.1245                 | -7.4942  | -11.2413       | 0.979237 | 28.9254  | 11.1228               | -7.4935                 | -11.2403 | 0.979102       | 28.9246  | 11.1235  |
|           | 47         |       | 86.7238               | 109.198                 | 72.7987  | 109.1981       | 0.781177 | 86.7258  | 109.198               | 72.7987                 | 109.198  | 0.781146       | 86.7243  | 109.194  |
|           | 51         |       | 60.6222               | 76.9432                 | -66.3376 | -99.5064       | 0.546499 | 60.6215  | 76.9417               | -66.3359                | -99.5039 | 0.546508       | 60.622   | 76.9446  |
|           | 55         |       | 69.9933               | 88.5392                 | 59.0262  | 88.53925       | 0.815609 | 69.995   | 88.5377               | 59.0252                 | 88.53775 | 0.815535       | 69.9985  | 88.5385  |
|           | 56         |       | 65.517                | 113.7959                | 75.8639  | 113.7959       | 0.509584 | 65.5174  | 113.796               | 75.8639                 | 113.796  | 0.509477       | 65.5079  | 113.807  |
|           | 60         |       | 212.2958              | 41.7819                 | -45.1593 | -67.739        | 0.233613 | 212.2894 | 41.7587               | -45.1618                | -67.7427 | 0.232863       | 212.2874 | 41.7579  |
| 6-21 G    | 61         |       | 202.623               | 86.54                   | -67.0494 | -100.574       | 0.720921 | 202.6321 | 86.5521               | -67.0618                | -100.593 | 0.720841       | 202.6403 | 86.5927  |
|           | 117        |       | 29.0972               | 13.0886                 | 8.7257   | 13.08865       | 0.739689 | 29.0973  | 13.0866               | 8.7244                  | 13.0866  | 0.739787       | 29.0974  | 13.0866  |
|           | 47         |       | 88.2939               | 108.2354                | 72.157   | 108.2355       | 0.788835 | 88.2959  | 108.2354              | 72.1569                 | 108.2354 | 0.788805       | 88.2944  | 108.2321 |
|           | 51         |       | 61.9378               | 76.7911                 | -65.9905 | -98.9858       | 0.55156  | 61.9371  | 76.7896               | -65.9888                | -98.9832 | 0.551566       | 61.9374  | 76.7926  |
|           | 55         |       | 71.5604               | 87.8903                 | 58.5935  | 87.8903        | 0.812493 | 71.5621  | 87.8888               | 58.5925                 | 87.88875 | 0.81242        | 71.5659  | 87.8896  |
|           | 56         |       | 66.907                | 113.432                 | 75.6213  | 113.432        | 0.503129 | 66.9074  | 113.432               | 75.6214                 | 113.4321 | 0.50302        | 66.8981  | 113.4432 |
| 4-31 G    | 60         |       | 211.8266              | 40.0852                 | -44.7235 | 40.0852        | 2.417954 | 211.82   | 40.0612               | -45.6721                | -68.5081 | 0.16953        | 211.8179 | 40.0603  |
|           | 61         |       | 201.6305              | 86.1165                 | -66.6263 | -99.9395       | 0.723375 | 201.6397 | 86.1295               | -66.6389                | -99.9583 | 0.723307       | 201.6479 | 86.1725  |
|           | 117        |       | 29.1424               | 12.7387                 | 8.4925   | 12.73875       | 0.762473 | 29.1425  | 12.7367               | 8.4911                  | 12.73665 | 0.762598       | 29.1426  | 12.7366  |
|           | 47         |       | 66.7992               | 125.2713                | 83.5142  | 125.2713       | 0.760795 | 66.801   | 125.2709              | 83.514                  | 125.271  | 0.760765       | 66.7996  | 125.2672 |
|           | 51         |       | 38.2137               | 88.6767                 | -74.6418 | -111.963       | 0.584039 | 38.213   | 88.6749               | -74.6399                | -111.96  | 0.584047       | 38.2143  | 88.6771  |
|           | 55         |       | 48.0363               | 104.0754                | 69.3836  | 104.0754       | 0.78635  | 48.0383  | 104.0745              | 69.383                  | 104.0745 | 0.78627        | 48.0425  | 104.0763 |
| 6-31 G    | 56         |       | 44.8382               | 126.0105                | 84.007   | 126.0105       | 0.564097 | 44.8388  | 126.0103              | 84.0068                 | 126.0102 | 0.564004       | 44.8288  | 126.0254 |
|           | 60         |       | 193.9777              | 41.1253                 | -46.3604 | -69.5407       | 0.18277  | 193.9704 | 41.1526               | -46.3604                | -69.5407 | 0.183555       | 193.9691 | 41.1881  |
|           | 61         |       | 168.9047              | 85.8723                 | -67.2789 | -100.918       | 0.701817 | 168.9129 | 85.8802               | -67.2921                | -100.938 | 0.701643       | 168.9205 | 85.913   |
|           | 117        |       | 28.9258               | 12.7767                 | 8.5178   | 12.7767        | 0.834347 | 28.9259  | 12.7749               | 8.5166                  | 12.77495 | 0.834453       | 28.9259  | 12.775   |
|           | 47         |       | 69.2769               | 124.1203                | 82.7469  | 124.1203       | 0.749792 | 69.2786  | 124.1196              | 82.7463                 | 124.1196 | 0.749765       | 69.2774  | 124.1157 |
|           | 51         |       | 40.9721               | 87.7588                 | -73.1297 | -109.695       | 0.600057 | 40.9715  | 87.757                | -73.1277                | -109.692 | 0.600068       | 40.9732  | 87.7593  |
|           | 55         |       | 51.0135               | 103.6222                | 69.0814  | 103.6222       | 0.765029 | 51.0156  | 103.6216              | 69.0811                 | 103.6217 | 0.764946       | 51.0199  | 103.6238 |
|           | 56         |       | 47.9779               | 124.6664                | 83.111   | 124.6665       | 0.561169 | 47.9785  | 124.6663              | 83.1108                 | 124.6663 | 0.561071       | 47.9688  | 124.682  |
|           | 60         |       | 195.5623              | 40.6239                 | -44.7827 | -67.174        | 0.209514 | 195.5548 | 40.6503               | -44.7816                | -67.1724 | 0.210327       | 195.5535 | 40.6862  |
|           | 61         |       | 168.5237              | 84.9379                 | -67.3725 | -101.059       | 0.680962 | 168.5318 | 84.9446               | -67.3852                | -101.078 | 0.680777       | 168.5388 | 84.9747  |
|           | 117        |       | 28.7834               | 12.5297                 | 8.3531   | 12.5297        | 0.892746 | 28.7835  | 12.5279               | 8.3519                  | 12.5279  | 0.892851       | 28.7835  | 12.5281  |
|           |            |       |                       |                         |          |                |          |          |                       |                         |          |                |          |          |

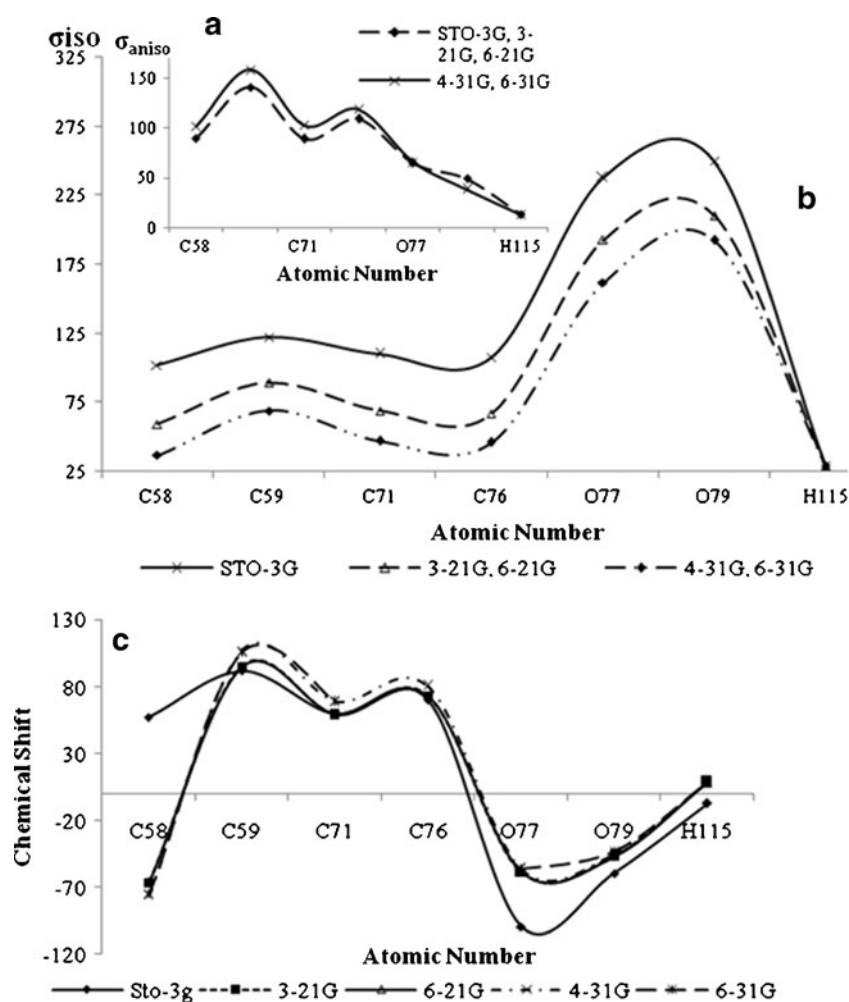
calculate the energy with reasonable accuracy, the *ab initio* theory was used, meaning “from first principles.” Simulation techniques of NMR parameters underwent important development in the last three decades. For example, the origin dependence of calculated chemical shifts [17, 18] was overcome in many ways. Formalism based on the gauge invariant atomic orbitals (also called London- or magnetic field-dependent AOs) has been established as a good standard [19, 20]. Appreciable popularity was gained in the past years by the Onsager–SCRF, elaborated by Wong [21] for the Gaussian program. The Onsager model is the simplest version of the MPE approach. The appealing feature of Onsager–SCRF was that it permitted one to directly exploit almost all of the computational facilities of the Gaussian packages. For its very limited computational cost, it is still in use by people not requiring an accurate description of solvation effects but just a guess or a qualitative correction to the values obtained for the isolated molecule. The dielectric continuum models such as the self-consistent reaction field method are efficient in taking account of long-range solute–solvent electrostatic interaction and the effect of solvent polarization. An alternative which can overcome the weak points of the dielectric

continuum model is the QM/MM method. Since full quantum chemical treatment of molecules is computationally too demanding, the electronic structure of the solute molecule is only treated quantum mechanically whilst the MM is introduced to describe the solvent molecule.

## Result and discussion

In the first step of the calculations, we optimized the geometry and defined the potential energy of the SWNT–CFA and SWNT–CGA structures by performing molecular mechanics calculation using the MM+ force field. If too large a time step is used in Monte Carlo simulation, it is possible to have a basic instability in the equations that results in a molecule blowing apart, so we need small time steps to preserve integration accuracy. However, in the Monte Carlo time step, 50 fs (0.05 ps) was appropriate. According to this method, the potential energy of solute and solvent, which depends on the dielectric constant  $\epsilon$ , has been listed in Table 1 and Fig. 1. In this work, we studied the structural properties of water, methanol, and ethanol surrounding

**Fig. 4** Calculated NMR values of **a**  $\sigma_{\text{anisotropic}}$ , **b**  $\sigma_{\text{isotropic}}$ , and **c** chemical shift ( $\delta$ ) for SWNT–CFA



SWCNT and CFA/CGA using molecular mechanic simulations. We used different force fields for the determination of energy on the particular SWCNT. Because of the differences among force fields, the energy of a molecule calculated using three different force fields will not be the same. So, it is not reasonable to compare the energy of one molecule calculated with a particular force field with the energy of another molecule calculated using a different force field. In this study, the difference in force field is illustrated by comparing the energy calculated by using force fields, MM+, AMBER, and OPLS. Theoretical energy values using different force fields which are the combination of attraction of van der Waals forces due to dipole–dipole interactions and empirical repulsive forces due to Pauli repulsion have been demonstrated in Table 2 and Fig. 2. The results of calculating energy in different force fields for SWNT–CFA and SWNT–CGA are the same. Dielectrics from  $\epsilon=1$  to  $\epsilon=78.39$  are randomly selected and have been used as  $\text{H}_2\text{O}$ ,  $\text{CH}_3\text{OH}$ ,  $\text{C}_2\text{H}_5\text{OH}$  molecular for

solvent phase. Their results are ( $\text{H}_2\text{O}$ ) [ $\epsilon=78.39$ ], ( $\text{CH}_3\text{OH}$ ) [ $\epsilon=32.63$ ], and ( $\text{C}_2\text{H}_5\text{OH}$ ) [ $\epsilon=24.55$ ].

In the next step, we calculated the vibrational modes of SWNT–CFA and SWNT–CGA by applying the semi-empirical molecular orbital method AM1 and PM3 to the HyperChem 8.0 package. All calculations presented here were performed with the semi-empirical method (AM1 [22], PM3 [23]). In 1989, Stewart improved the techniques of parameterization and published PM3, which gave lower average errors than AM1 and are sufficient to study carbon systems mainly for the enthalpies of formation [23]. The resulting method was denoted PM3 and is essentially AM1 with all the parameters fully optimized. In a sense, it is the best set of parameters (or at least a good local minimum) for the given set of experimental data. The optimization process, however, still requires some human intervention in selecting the experimental data and assigning appropriate weight factors to each set of data. At the first glance in

**Fig. 5** Calculated NMR values of **a**  $\sigma_{\text{anisotropic}}$ , **b**  $\sigma_{\text{isotropic}}$ , and **c** chemical shift ( $\delta$ ) for SWNT–CGA

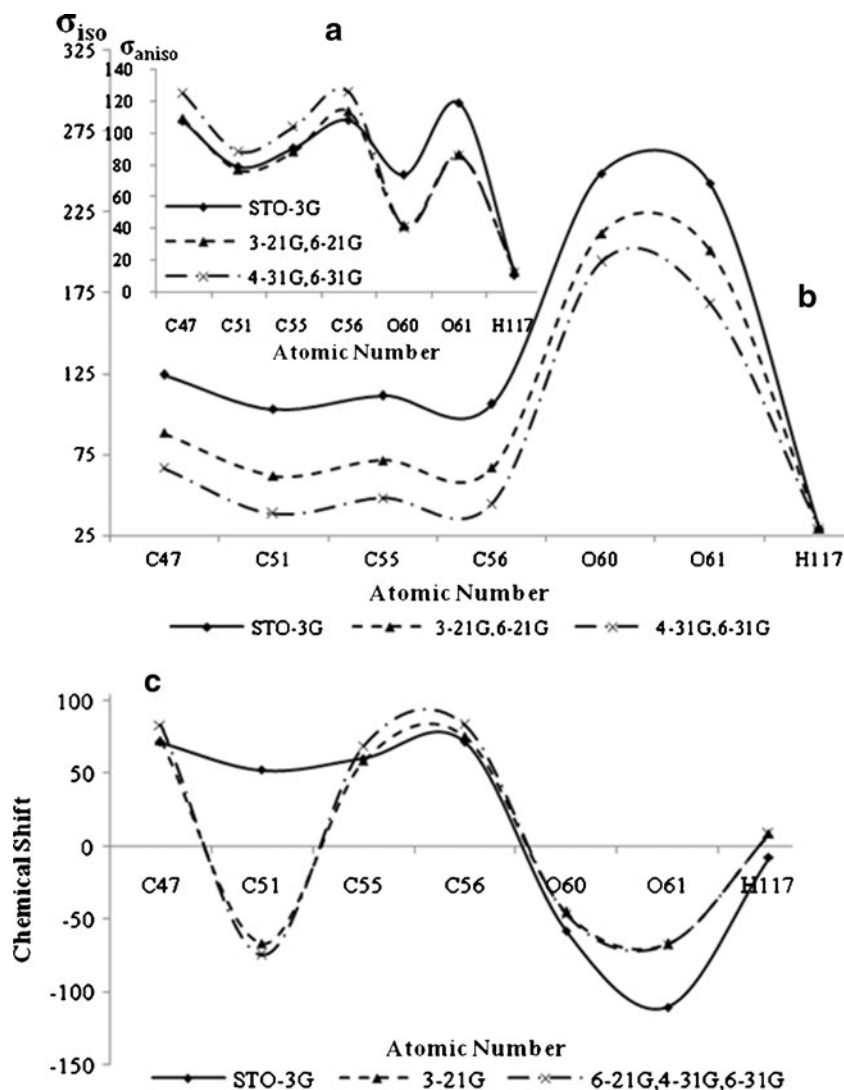


Fig. 3, it can be observed by increasing dielectrics that normal modes will move to lower normal modes ratio, and vibrational frequencies resulting from semi-empirical calculations tend to be qualitative. Therefore, by increasing dielectric, the higher frequency will be gained in which both methods, AM1 and PM3, will have the same operating procedure.

Since the influence between a molecule in solution and its medium can describe most simply by using the Onsager model, in this model, we have assumed that the solute is placed in a spherical cavity inside the solvent. The latter is described as a homogeneous, polarizable medium of dielectric constant. We started our studies with HF/3–21 G gas phase geometry and water, methanol, and ethanol surrounding SWCNT–CFA and SWNT–CGA. The results obtained from the Onsager model calculations are illustrated using the energy difference between these conformers which are quite sensitive to the polarity of the surrounding solvent. The solvent effect has been calculated using the SCRF model.

After using the SCRF model, we investigated NMR parameters. These two compounds are too large; because of that, we selected few atoms from each of them to determine the NMR parameters. As shown in Scheme 3, we chose the seventh atom from each compound. Some NMR parameters were determined by the HF method and several basis sets in different solution such as:  $\text{H}_2\text{O}$ ,  $\text{CH}_3\text{OH}$ , and  $\text{C}_2\text{H}_5\text{OH}$  as shown in Table 3 for SWNT–CFA and in Table 4 for SWNT–CGA. The minimum charge transfer in SWNT–CFA is O77, and for SWNT–CGA, it is O60, so here is a maximum excited charge. It means the system is more stable in these linkages. Figures 4 and 5 are showing the relation between the  $\sigma_{\text{isotropic}}$ ,  $\sigma_{\text{anisotropic}}$ , and chemical shift for the mentioned compounds. In Fig. 4, the  $\sigma_{\text{isotropic}}$  for O77 is in maximum value, but  $\sigma_{\text{anisotropic}}$  and chemical shift have a negative value. Also, in Fig. 5,  $\sigma_{\text{isotropic}}$  for O60 is in maximum value, but  $\sigma_{\text{anisotropic}}$  and chemical shift have a negative value. Comparing the NMR parameters for other specified atoms will show the same result.

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